

Dependency Parsing

lecture 3

Jakub Waszczuk, Kilian Evang

Heinrich Heine Universität

Summer semester 2021

Grammar based dependency parsing [Kubler et al., 2009]

Features

- Grammar-based methods rely on an explicitly defined formal grammar
- More restrictive parsing model (in comparison with purely data-driven approaches)

Main approaches

- Constraint dependency parsing
- Context free dependency parsing

Grammar based dependency parsing [Kubler et al., 2009]

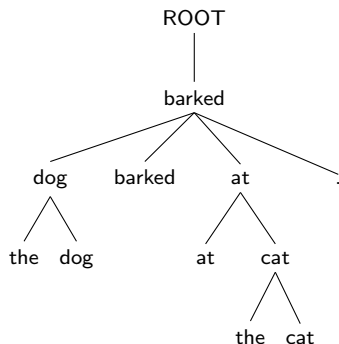
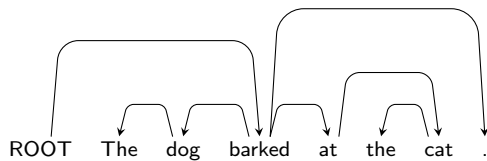
Features

- Grammar-based methods rely on an explicitly defined formal grammar
- More restrictive parsing model (in comparison with purely data-driven approaches)

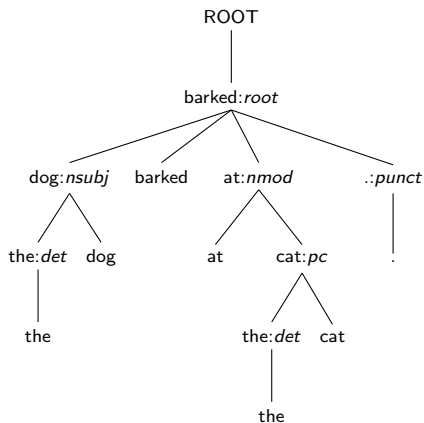
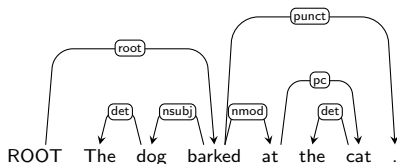
Main approaches

- Constraint dependency parsing
- Context free dependency parsing ← **today's focus**

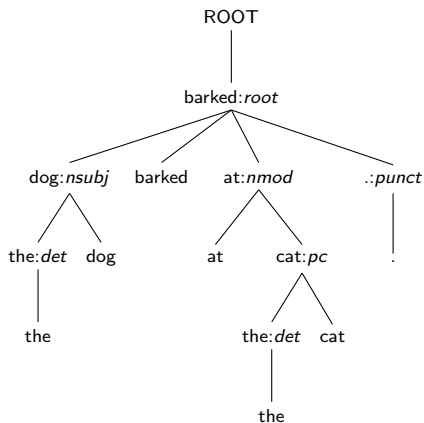
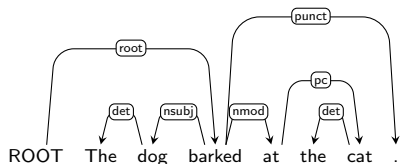
Dependency tree as a constituency tree



Dependency tree as a constituency tree



Dependency tree as a constituency tree



We focus on unlabeled trees for simplicity

Context free grammar

Definition: Context Free Grammar

A Context Free Grammar is a 4-tuple (N, Σ, Π, S) where:

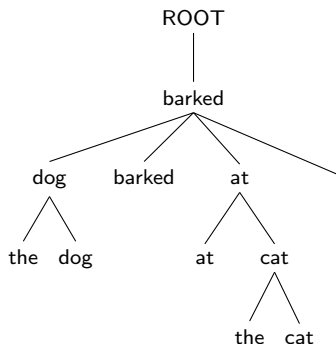
- N is a set of non-terminal symbols
- Σ is a set of terminal symbols
- Π is a set of production rules of the form $X \rightarrow \alpha$, where $X \in N$ and α is a string of terminal and non terminal symbols
- $S \in N$ is the start symbol

Example

- $\Sigma = \{\text{the, dog, barked, at, the, cat}\}$, $N = \Sigma \cup \{\text{ROOT}\}$, $S = \text{ROOT}$
- $\Pi = \{\text{ROOT} \rightarrow \text{barked}, \text{barked} \rightarrow \text{dog barked at .}, \text{dog} \rightarrow \text{the dog}, \text{at} \rightarrow \text{at cat}, \text{cat} \rightarrow \text{the cat}\}$

Context free grammar

- $\Sigma =$
 $\{\text{the, dog, barked, at, the, cat, .}\}$
- $N = \Sigma \cup \{\text{ROOT}\}$
- $S = \text{ROOT}$
- $\Pi :$
 - $\text{ROOT} \rightarrow \text{barked}$
 - $\text{barked} \rightarrow \text{dog barked at .}$
 - $\text{dog} \rightarrow \text{the dog}$
 - $\text{at} \rightarrow \text{at cat}$
 - $\text{cat} \rightarrow \text{the cat}$



Context free dependency parsing

Advantages

- Possibility to reuse the well-studied CFG parsing algorithm: CYK [Younger, 1967], Earley's algorithm [Earley, 1970], etc.

Disadvantages

- Considerably higher number of nonterminals in comparison to traditional CFGs

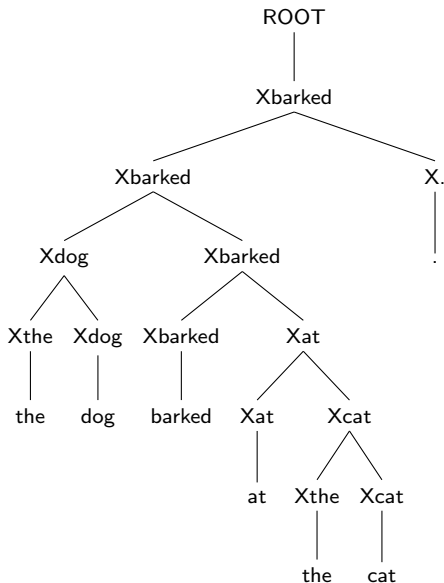
Definition

A Bilexical Context Free Grammar is a context free grammar in which the set of production rules Π consists of a set of

- root dependencies $ROOT \rightarrow H$
- left dependencies $H \rightarrow NH H$
- right dependencies $H \rightarrow H NH$
- and terminal dependencies $H \rightarrow h$

Bilexical grammar: Example

- $\Sigma =$
 {the, dog, barked, at, the, cat, .}
- $N = \{Xt : t \in \Sigma\} \cup \{\text{ROOT}\}$
- $S = \text{ROOT}$
- $\Pi :$
 - $\text{ROOT} \rightarrow \text{Xbarked}$
 - $\text{Xbarked} \rightarrow \text{Xdog Xbarked}$
 - $\text{Xbarked} \rightarrow \text{Xbarked Xat}$
 - $\text{Xbarked} \rightarrow \text{Xbarked X.}$
 - $\text{Xdog} \rightarrow \text{Xthe Xdog}$
 - $\text{Xat} \rightarrow \text{Xat Xcat}$
 - $\text{Xcat} \rightarrow \text{Xthe Xcat}$
 - $\text{Xthe} \rightarrow \text{the}$
 - $\text{Xdog} \rightarrow \text{dog}$
 - $\text{Xbarked} \rightarrow \text{barked}$
 - $\text{Xat} \rightarrow \text{at}$
 - $\text{Xcat} \rightarrow \text{cat}$



Input

- Bilexical CFG $G = (N, \Sigma, \Pi, S)$
- Input sentence $w = w_1 w_2 \dots w_n \in \Sigma^*$ of length n

Item

- Each item has the form $[A, i, j]$ where $A \in N$ and $1 \leq i \leq j \leq n$
- Item $[A, i, j]$ states that $A \Rightarrow^* w_i \dots w_j$

Rules

- Axiom:

$$\frac{}{[A, i, i]} \quad A \rightarrow w_i \in \Pi$$

- Combine:

$$\frac{[B, i, j] \quad [C, j+1, k]}{[A, i, k]} \quad A \rightarrow BC \in \Pi$$

- Root:

$$\frac{[A, 1, n]}{[\text{ROOT}, 1, n]} \quad \text{ROOT} \rightarrow A \in \Pi$$

Input

- Bilexical CFG $G = (N, \Sigma, \Pi, S)$
- Input sentence $w = w_1 w_2 \dots w_n \in \Sigma^*$ of length n

Item

- Each item has the form $[A, i, j]$ where $A \in N$ and $1 \leq i \leq j \leq n$
- Item $[A, i, j]$ states that $A \Rightarrow^* w_i \dots w_j$

Rules

- Axiom:

$$\overline{[A, i, i]} \quad A \rightarrow w_i \in \Pi$$

- Combine:

$$\frac{[B, i, j] \quad [C, j+1, k]}{[A, i, k]} \quad A \rightarrow BC \in \Pi$$

- Root: **(non-standard)**

$$\frac{[A, 1, n]}{[\text{ROOT}, 1, n]} \quad \text{ROOT} \rightarrow A \in \Pi$$

CYK: Example

Rules

$$\frac{}{[A, i, i]} A \rightarrow w_i \in \Pi \quad ; \quad \frac{[B, i, j] \ [C, j+1, k]}{[A, i, k]} A \rightarrow BC \in \Pi$$

Grammar

$\Sigma = \{\text{the, dog, barked}\}$ and Π :

- $\text{ROOT} \rightarrow \text{Xbarked}$
- $\text{Xbarked} \rightarrow \text{Xdog Xbarked} \mid \text{barked}$
- $\text{Xdog} \rightarrow \text{Xthe Xdog} \mid \text{dog}$
- $\text{Xthe} \rightarrow \text{the}$

the dog barked

Chart

CYK: Example

Rules

$$\overline{[A, i, i]} \quad A \rightarrow w_i \in \Pi \quad ; \quad \frac{[B, i, j] \quad [C, j+1, k]}{[A, i, k]} \quad A \rightarrow BC \in \Pi$$

Grammar

$\Sigma = \{\text{the, dog, barked}\}$ and Π :

- $\text{ROOT} \rightarrow \text{Xbarked}$
- $\text{Xbarked} \rightarrow \text{Xdog Xbarked} \mid \text{barked}$
- $\text{Xdog} \rightarrow \text{Xthe Xdog} \mid \text{dog}$
- $\text{Xthe} \rightarrow \text{the}$

Xthe	Xdog	Xbarked
the	dog	barked

Chart

- $\rightarrow [\text{Xthe}, 1, 1], [\text{Xdog}, 2, 2], [\text{Xbarked}, 3, 3] \text{ (axiom } \times 3)$

CYK: Example

Rules

$$\overline{[A, i, i]} \quad A \rightarrow w_i \in \Pi \quad ; \quad \frac{[B, i, j] \quad [C, j+1, k]}{[A, i, k]} \quad A \rightarrow BC \in \Pi$$

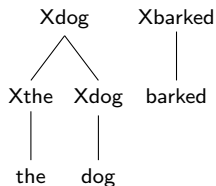
Grammar

$\Sigma = \{\text{the, dog, barked}\}$ and Π :

- $\text{ROOT} \rightarrow \text{Xbarked}$
- $\text{Xbarked} \rightarrow \text{Xdog Xbarked} \mid \text{barked}$
- $\text{Xdog} \rightarrow \text{Xthe Xdog} \mid \text{dog}$
- $\text{Xthe} \rightarrow \text{the}$

Chart

- $\rightarrow [\text{Xthe}, 1, 1], [\text{Xdog}, 2, 2], [\text{Xbarked}, 3, 3]$ (axiom $\times 3$)
- $[\text{Xthe}, 1, 1], [\text{Xdog}, 2, 2] \rightarrow [\text{Xdog}, 1, 2]$ (combine)



CYK: Example

Rules

$$\frac{}{[A, i, i]} A \rightarrow w_i \in \Pi \quad ; \quad \frac{[B, i, j] \quad [C, j + 1, k]}{[A, i, k]} A \rightarrow BC \in \Pi$$

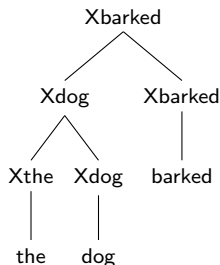
Grammar

$\Sigma = \{\text{the, dog, barked}\}$ and Π :

- $\text{ROOT} \rightarrow \text{Xbarked}$
- $\text{Xbarked} \rightarrow \text{Xdog Xbarked} \mid \text{barked}$
- $\text{Xdog} \rightarrow \text{Xthe Xdog} \mid \text{dog}$
- $\text{Xthe} \rightarrow \text{the}$

Chart

- $\rightarrow [\text{Xthe}, 1, 1], [\text{Xdog}, 2, 2], [\text{Xbarked}, 3, 3]$ (axiom $\times 3$)
- $[\text{Xthe}, 1, 1], [\text{Xdog}, 2, 2] \rightarrow [\text{Xdog}, 1, 2]$ (combine)
- $[\text{Xdog}, 1, 2], [\text{Xbarked}, 3, 3] \rightarrow [\text{Xbarked}, 1, 3]$ (combine)



CYK: Example

Rules

$$\overline{[A, i, i]} \quad A \rightarrow w_i \in \Pi \quad ; \quad \frac{[B, i, j] \quad [C, j + 1, k]}{[A, i, k]} \quad A \rightarrow BC \in \Pi$$

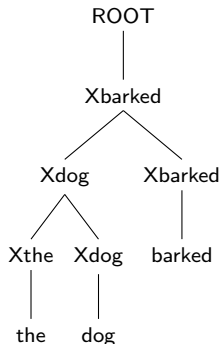
Grammar

$\Sigma = \{\text{the, dog, barked}\}$ and Π :

- $\text{ROOT} \rightarrow \text{Xbarked}$
- $\text{Xbarked} \rightarrow \text{Xdog Xbarked} \mid \text{barked}$
- $\text{Xdog} \rightarrow \text{Xthe Xdog} \mid \text{dog}$
- $\text{Xthe} \rightarrow \text{the}$

Chart

- $\rightarrow [\text{Xthe}, 1, 1], [\text{Xdog}, 2, 2], [\text{Xbarked}, 3, 3]$ (axiom $\times 3$)
- $[\text{Xthe}, 1, 1], [\text{Xdog}, 2, 2] \rightarrow [\text{Xdog}, 1, 2]$ (combine)
- $[\text{Xdog}, 1, 2], [\text{Xbarked}, 3, 3] \rightarrow [\text{Xbarked}, 1, 3]$ (combine)
- $[\text{Xbarked}, 1, 3] \rightarrow [\text{ROOT}, 1, 3]$ (root)



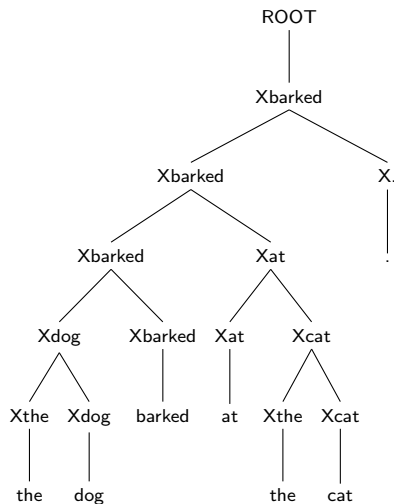
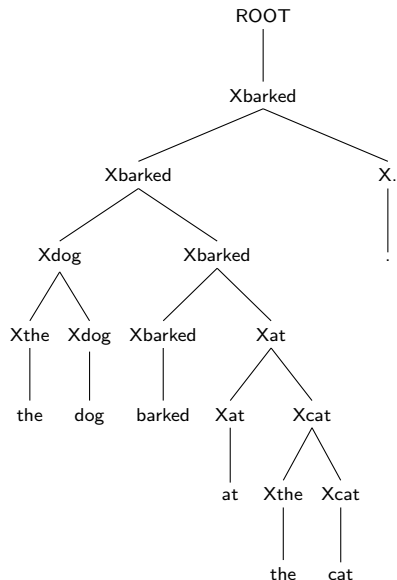
Parsing complexity

$$\mathcal{O}(n^5)$$

Spurious structures

Several constituency trees can correspond to one and the same dependency tree

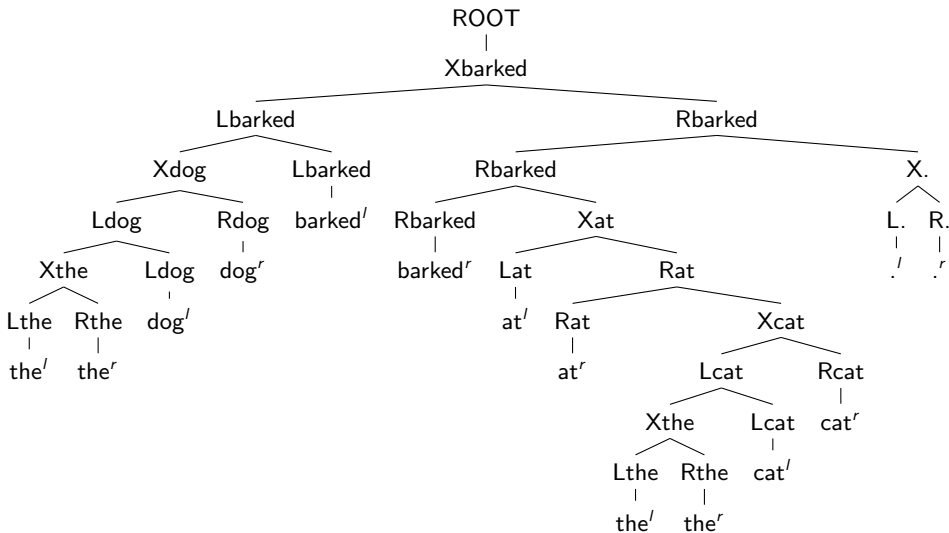
Bilexical grammar: Spurious ambiguity



Split-head representation

- Word w_i can be a root:
 $ROOT \rightarrow X_i$
- We collect left and right dependents separately:
 $X_i \rightarrow L_i R_i$
- Left ($w_j \leftarrow w_i$) and right ($w_i \rightarrow w_j$) dependencies:
 $L_i \rightarrow X_j L_j$
 $R_i \rightarrow R_j X_j$
- Two lexical rules per word:
 $L_i \rightarrow w_i^l$
 $R_i \rightarrow w_i^r$

Split-head representation: Example



Split-head representation: Complexity

Parsing complexity

$$\mathcal{O}(n^4)$$

Spurious structures

None

Other approaches

Methods

- Unfold-fold transformation
- Eisner algorithm (arc-factored parsing), without detour via CFG

Parsing complexity

$$\mathcal{O}(n^3)$$

T H E

E N D



Earley, J. (1970).

An efficient context-free parsing algorithm.

Commun. ACM, 13(2):94–102.



Kubler, S., McDonald, R., Nivre, J., and Hirst, G. (2009).

Dependency Parsing.

Morgan and Claypool Publishers.



Younger, D. H. (1967).

Recognition and parsing of context-free languages in time n^3 .

Information and Control, 10(2):189–208.